

IN THE UNITED STATES DISTRICT COURT
FOR THE MIDDLE DISTRICT OF FLORIDA
TAMPA DIVISION

JEFFREY THELEN,)
)
Plaintiff,)
)
v.) Case No.: 8:20-CV-1724
)
SOMATICS, LLC; AND)
ELEKTRIKA, INC.,)
)
Defendant.)
)

VOLUME IV OF VII (pp. 1-250)
JURY TRIAL PROCEEDINGS
BEFORE THE HONORABLE THOMAS P. BARBER
June 5, 2023

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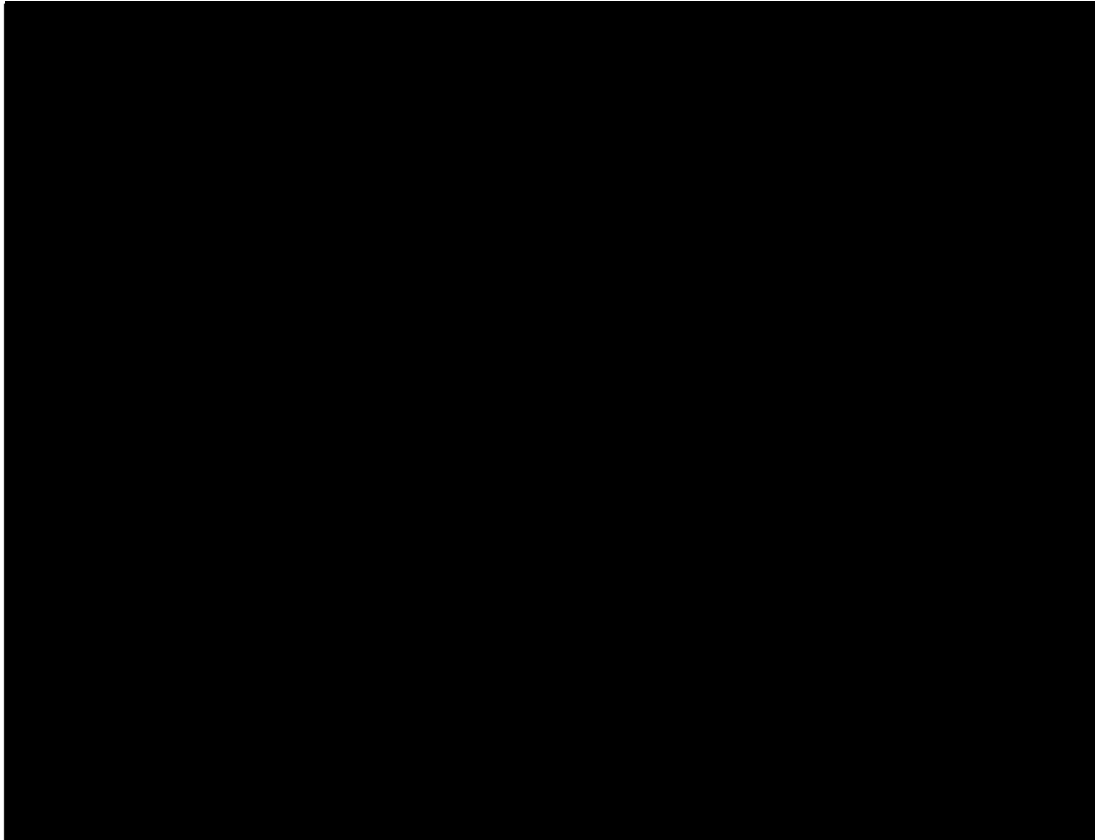
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8 : 3 8 A M

1

THE COURT: Good morning, everybody.

8 : 3 8 A M

2

MR. ESFANDIARI: Good morning, Your Honor.

8 : 3 8 A M

3

THE COURT: I hope you had a refreshing weekend and you're ready to go for some more productive jury time.

8 : 3 8 A M

5

what's the game plan for today? Don't tell me about problems because I'm sure everyone found problems over the weekend, but what's the game plan witness-wise and stuff like that?

8 : 3 8 A M

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8 : 3 8 A M

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8 : 3 8 A M

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8 : 3 8 A M

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MR. ESFANDIARI: Certainly, Your Honor. So the game plan will be we're going to start the day off with Dr. Castleman. He's the electrical engineering expert.

8 : 3 8 A M

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8 : 3 8 A M

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8 : 3 8 A M

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THE COURT: Is he live or --

8 : 3 8 A M

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MR. ESFANDIARI: He's live. We're going to have four live witnesses and one video today. So we'll start off with Dr. Castleman.

8 : 3 8 A M

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8 : 3 8 A M

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8 : 3 8 A M

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8 : 3 8 A M

18

8 : 3 8 A M

19

8 : 3 8 A M

20

8 : 3 9 A M

21

8 : 3 9 A M

22

8 : 3 9 A M

23

8 : 3 9 A M

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8 : 3 9 A M

25

9:09 AM

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9:09 AM

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9:09 AM

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9:10 AM

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(Jury in at 9:10 a.m.)

9:10 AM

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THE COURT: Have a seat, everybody. Welcome back.

9:10 AM

7

Glad to see you. Everybody have a good weekend, right? Watch

9:11 AM

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any videos this weekend maybe of old movies or anything? No?

9:11 AM

9

No videos? Well, good news is we're beginning the day today

9:11 AM

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with mostly live -- I think the day today will be mostly live

9:11 AM

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witnesses, all right? So that's where we are.

9:11 AM

12

Who's your first witness?

9:11 AM

13

MR. ESFANDIARI: Dr. Castleman, Your Honor.

9:11 AM

14

THE COURT: All right. Dr. Castleman, come on down.

9:11 AM

15

I'm also happy to report, members of the jury, we are well on

9:11 AM

16

schedule to finish when I said or possibly earlier, which I

9:11 AM

17

never say because it never works that way when I say it, but

9:11 AM

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we're in good shape time-wise.

9:11 AM

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All right. Raise your right hand, please.

9:11 AM

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(Witness sworn.)

9:11 AM

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THE COURT: Very good. Have a seat right there.

9:11 AM

22

Tell us your name and how to spell it.

9:12 AM

23

THE WITNESS: My name is Kenneth Castleman,

9:12 AM

24

C-a-s-t-l-e-m-a-n.

9:12 AM

25

THE COURT: All right. He's going to ask you some

9 : 1 2 A M 1 questions over there. Go ahead whenever you're ready.

9 : 1 2 A M 2 MR. ESFANDIARI: All right.

9 : 1 2 A M 3 KENNETH CASTLEMAN,

9 : 1 2 A M 4 a witness called on behalf of the Plaintiff, being first duly
9 : 1 2 A M 5 sworn, was examined and testified as follows:

9 : 1 2 A M 6 DIRECT EXAMINATION

9 : 1 2 A M 7 BY MR. ESFANDIARI:

9 : 1 2 A M 8 Q. Good morning, Dr. Castleman.

9 : 1 2 A M 9 A. Good morning.

9 : 1 2 A M 10 Q. Can you please introduce yourself to the jury and tell us
9 : 1 2 A M 11 where you're from?

9 : 1 2 A M 12 A. My name is Kenneth Castleman. I'm a biomedical engineer,
9 : 1 2 A M 13 and I live in League City, Texas.

9 : 1 2 A M 14 Q. If you could try to keep your voice up, Dr. Castleman.

9 : 1 2 A M 15 A. Okay.

9 : 1 2 A M 16 Q. Thank you. Kind of want to go over your qualifications,
9 : 1 2 A M 17 Doctor. Can you please tell us where you received your
9 : 1 2 A M 18 educational background starting with college, please?

9 : 1 2 A M 19 A. Yes, I have a bachelor of science degree in electrical
9 : 1 2 A M 20 engineering, and I have a master of science degree in electric
9 : 1 2 A M 21 engineering and a Ph.D. in biomedical engineering, all from the
9 : 1 2 A M 22 University of Texas at Austin.

9 : 1 2 A M 23 Q. What is biomedical engineering?

9 : 1 3 A M 24 A. That's branch of engineering that addresses problems in
9 : 1 3 A M 25 medicine and biology. Biomedical engineers are trained not

9 : 1 3 A M 1 only in engineering, but also in medicine and biology so that
9 : 1 3 A M 2 they can speak intelligently to doctors, for example. And
9 : 1 3 A M 3 biomedical engineers often design the instrumentation that's
9 : 1 3 A M 4 used in the practice of medicine, and they do medical and
9 : 1 3 A M 5 biological research work.

9 : 1 3 A M 6 Q. Okay. Dr. Castleman, you mentioned you received your Ph.D
9 : 1 3 A M 7 in biomedical engineering from Texas, University of Texas.
9 : 1 3 A M 8 When was that?

9 : 1 3 A M 9 A. That was 1970.

9 : 1 3 A M 10 Q. And what did you do after you received your Ph.D?

9 : 1 3 A M 11 A. I took a job with NASA at the jet propulsion laboratory in
9 : 1 3 A M 12 Pasadena, California. I worked in the biomedical image
9 : 1 3 A M 13 processing group in the science data analysis section at JPL.
9 : 1 4 A M 14 We processed not only the images that came back from Mars and
9 : 1 4 A M 15 Venus and Jupiter, but also medical problems as well; x-ray,
9 : 1 4 A M 16 electron microscope and light microscope images as well, mostly
9 : 1 4 A M 17 doing research and instrument development.

9 : 1 4 A M 18 Q. Were you also -- at the time you were at NASA, were you
9 : 1 4 A M 19 also teaching or affiliated with any of the universities in
9 : 1 4 A M 20 California?

9 : 1 4 A M 21 A. Yes, for a period of time, I was teaching a course at
9 : 1 4 A M 22 Caltech, California Institute of Technology there in Pasadena.
9 : 1 4 A M 23 That's one of the top rated universities in the world. They
9 : 1 4 A M 24 have a number of Nobel prize winners on their faculty at
9 : 1 4 A M 25 Caltech.

9 : 1 4 A M 1 Q. All right. You mentioned you were at -- with NASA for
9 : 1 4 A M 2 about 15 years, Doctor?

9 : 1 4 A M 3 A. That's correct.

9 : 1 4 A M 4 Q. Was there a time ever where -- after you finished your
9 : 1 5 A M 5 tenure at NASA where they asked you to come back?

9 : 1 5 A M 6 A. Yes. Twice actually. In 1986, at the time of the
9 : 1 5 A M 7 Challenger accident, where the space shuttle Challenger blew up
9 : 1 5 A M 8 immediately after the launch, they called me back to serve on
9 : 1 5 A M 9 the committee that was analyzing the launch films to try to
9 : 1 5 A M 10 figure out the exact cause of the accident.

9 : 1 5 A M 11 And then again in 2003 when the Columbia spacecraft
9 : 1 5 A M 12 broke up on reentry over Texas and Louisiana, NASA called me
9 : 1 5 A M 13 back to serve on the committee, again to analyze the launch
9 : 1 5 A M 14 films to see what went wrong with during the launch that
9 : 1 5 A M 15 actually caused the spacecraft to break up on reentry.

9 : 1 5 A M 16 Q. Dr. Castleman, you've been retained by my office to
9 : 1 5 A M 17 provide testimony concerning engineering associated with the
9 : 1 6 A M 18 device called an electroconvulsive therapy device. Are you
9 : 1 6 A M 19 familiar with that device, Doctor?

9 : 1 6 A M 20 A. Yes, I am.

9 : 1 6 A M 21 Q. How many current manufacturers of ECT devices are there in
9 : 1 6 A M 22 the United States currently?

9 : 1 6 A M 23 A. I'm aware of two, Somatics and also MECTA.

9 : 1 6 A M 24 Q. Are you familiar with Somatics' device called the
9 : 1 6 A M 25 Thymatron device?

9 : 1 6 A M 1 A. Yes, I am.

9 : 1 6 A M 2 Q. All right. What is the purpose of an ECT device, Doctor?

9 : 1 6 A M 3 MS. COLE: Objection. Beyond the scope.

9 : 1 6 A M 4 THE COURT: Well, I think he can give a very general
9 : 1 6 A M 5 answer on that. Overruled.

9 : 1 6 A M 6 THE WITNESS: The device is an electronic pulse
9 : 1 6 A M 7 generator, and it generates an electric charge that is applied
9 : 1 6 A M 8 to the patient's head.

9 : 1 6 A M 9 BY MR. ESFANDIARI:

9 : 1 6 A M 10 Q. You made reference to an electrical charge. Is that also
9 : 1 6 A M 11 an electrical current?

9 : 1 6 A M 12 A. It is. It passes an electrical current through the
9 : 1 6 A M 13 patient's head.

9 : 1 6 A M 14 Q. All right. And what exactly is an electrical charge or
9 : 1 7 A M 15 electrical current?

9 : 1 7 A M 16 A. An electrical current is actually a flow, a flow of
9 : 1 7 A M 17 electrons. All matter is made up of atoms, and all of the
9 : 1 7 A M 18 atoms have electrons circulating around them like little
9 : 1 7 A M 19 planets. And normally an electron will stay with its own atom,
9 : 1 7 A M 20 but under certain conditions the electrons will jump from one
9 : 1 7 A M 21 atom to the next. If you have an object in which the electrons
9 : 1 7 A M 22 are all jumping in the same direction, you have a flow of
9 : 1 7 A M 23 electrons in one direction. That is a current. The actual
9 : 1 7 A M 24 flow of the electrons moving from one atom to the next as a
9 : 1 7 A M 25 group creates a flow, and that is the current.

9 : 1 7 A M 1 Q. For one to be able to understand how much electric current
9 : 1 7 A M 2 the Thymatron machine produces, what foundational material of
9 : 1 7 A M 3 electricity -- engineering and electricity do we need to know?

9 : 1 8 A M 4 A. The two things you would need to know to understand to
9 : 1 8 A M 5 some extent are current and voltage.

9 : 1 8 A M 6 Q. Current and voltage. What is current?

9 : 1 8 A M 7 A. Current is the flow of electrons through the material. If
9 : 1 8 A M 8 the electrons are staying with their original atoms and not
9 : 1 8 A M 9 moving, there's no current flow, but if the electrons are
9 : 1 8 A M 10 moving as a group in one direction through an object, then you
9 : 1 8 A M 11 have a current flow.

9 : 1 8 A M 12 Q. Can you kind of give a layperson's example of that, taking
9 : 1 8 A M 13 it outside of electricity?

9 : 1 8 A M 14 A. There's an analogy with water flowing through a hose. The
9 : 1 8 A M 15 water in the hose would be analogous to the electrons
9 : 1 8 A M 16 themselves. The rate at which the water is flowing through the
9 : 1 8 A M 17 hose, say in gallons per minute, that would be the current.
9 : 1 8 A M 18 That's how you would measure the current, how many gallons per
9 : 1 8 A M 19 minute of water are flowing through the hose. In the case of
9 : 1 9 A M 20 electrical current, it would be how many electrons per second
9 : 1 9 A M 21 are flowing through the object.

9 : 1 9 A M 22 Q. You mentioned -- in terms of current, is there a unit of
9 : 1 9 A M 23 measurement that measures the current?

9 : 1 9 A M 24 A. The standard unit that's used to measure current is the --
9 : 1 9 A M 25 is the amp, the ampere or the amp, and that is defined to be

9 : 1 9 A M 1 6 billion billion, approximately 6 billion billion electrons
9 : 1 9 A M 2 per second flowing past the point. If you have that many
9 : 1 9 A M 3 electrons flowing in one second, then you have one amp of
9 : 1 9 A M 4 current.

9 : 1 9 A M 5 Q. And one amp of current, how much is that? How much energy
9 : 1 9 A M 6 is that, if you can give an analogy of something we would all
9 : 1 9 A M 7 understand.

9 : 1 9 A M 8 A. If you were to plug a 100-watt lamp into the light socket,
9 : 1 9 A M 9 you would get one amp of current flowing through the lightbulb.
9 : 1 9 A M 10 So one amp of current in that particular case is enough to keep
9 : 2 0 A M 11 a 100-watt bulb glowing, and we're talking about an
9 : 2 0 A M 12 incandescent bulb that generates heat as well as light.

9 : 2 0 A M 13 Q. And so you mentioned -- foundationally I need to know
9 : 2 0 A M 14 current and voltage. You described what current is, which is
9 : 2 0 A M 15 the flow of electrons. What is voltage?

9 : 2 0 A M 16 A. Voltage -- well, first off, the electrons have a negative
9 : 2 0 A M 17 charge, so they will be attracted to any positive charge, and
9 : 2 0 A M 18 they'll be repelled by any negative charge. So if the
9 : 2 0 A M 19 electrons are entering an environment where there's a positive
9 : 2 0 A M 20 terminal nearby, they will be attracted to it. That force that
9 : 2 0 A M 21 the positive terminal puts on the electrons is the voltage.
9 : 2 0 A M 22 Voltage is analogous to the pressure that forces the water
9 : 2 0 A M 23 through the hose. The higher the pressure, the more flow
9 : 2 1 A M 24 you'll get through the hose, the more water will flow through
9 : 2 1 A M 25 the hose or the faster it will flow. The higher the voltage,

9 : 2 1 A M 1 the more force there is on the electrons to cause them to flow,
9 : 2 1 A M 2 and so the more electron flow you'll get.

9 : 2 1 A M 3 So the voltage is actually what causes the current to
9 : 2 1 A M 4 flow. When you apply voltage to an object, that puts a force
9 : 2 1 A M 5 on the electrons in that object, and they will begin to flow in
9 : 2 1 A M 6 the direction away from the negative terminal and toward the
9 : 2 1 A M 7 positive terminal.

9 : 2 1 A M 8 Q. All right, Doctor. So you've talked to us about amps, the
9 : 2 1 A M 9 current. You've talked to us about voltage, the pressure.
9 : 2 1 A M 10 Bring it to the Thymatron machine, the ECT machine at issue
9 : 2 1 A M 11 here. What is the current of the Thymatron machine?

9 : 2 1 A M 12 A. The Thymatron instrument is designed as a constant current
9 : 2 1 A M 13 generator. So it will always put out nine-tenths of one amp,
9 : 2 2 A M 14 900 milliamperes. That's the standard current that the device
9 : 2 2 A M 15 puts out. And in use, it will adjust its voltage to whatever
9 : 2 2 A M 16 is required to force that nine-tenths of one amp of current to
9 : 2 2 A M 17 flow. It's a constant current device, and it's capable of
9 : 2 2 A M 18 adjusting its voltage as necessary to get that amount of
9 : 2 2 A M 19 current to flow.

9 : 2 2 A M 20 Q. Thank you, Doctor.

9 : 2 2 A M 21 MR. ESFANDIARI: Sonya, may I use the -- just in
9 : 2 2 A M 22 case. I'm not sure yet.

9 : 2 2 A M 23 BY MR. ESFANDIARI:

9 : 2 2 A M 24 Q. Doctor, are you -- the jury has heard some testimony and
9 : 2 2 A M 25 discussion previously about sign wave ECT devices that existed

9 : 2 2 A M 1 prior to the '80s as well as the current devices that are brief
9 : 2 3 A M 2 pulse. Are you familiar with those two types of ECT devices?

9 : 2 3 A M 3 A. Yes.

9 : 2 3 A M 4 Q. All right. What is the difference between a sign wave
9 : 2 3 A M 5 device and a brief pulse device?

9 : 2 3 A M 6 A. The primary difference between the old style sign wave
9 : 2 3 A M 7 devices and the more modern pulse devices is that they deliver
9 : 2 3 A M 8 the same amount of electricity to the patient's head, but the
9 : 2 3 A M 9 sign wave devices deliver that energy in only one second,
9 : 2 3 A M 10 whereas with the Thymatron pulse device, that duration is
9 : 2 3 A M 11 spread out to 8 seconds. So with the sign wave machines, you
9 : 2 3 A M 12 get the electricity in one second, and with the Thymatron, you
9 : 2 3 A M 13 get the electricity in a longer period of time, typically 8
9 : 2 3 A M 14 seconds.

9 : 2 4 A M 15 Q. So the take home then is that the two devices in terms of
9 : 2 4 A M 16 electrical current and output are the same. It's just simply
9 : 2 4 A M 17 that the sign wave device does it in one second versus the
9 : 2 4 A M 18 somatics or the brief pulse devices will spread it out over 8
9 : 2 4 A M 19 seconds?

9 : 2 4 A M 20 MS. COLE: Objection. Form. Objection. Form, lack
9 : 2 4 A M 21 of foundation, and leading.

9 : 2 4 A M 22 THE COURT: I think you have to split that up into a
9 : 2 4 A M 23 couple of questions.

9 : 2 4 A M 24 MR. ESFANDIARI: Certainly. Your Honor.

9 : 2 4 A M 25 BY MR. ESFANDIARI:

9 : 2 4 A M 1 Q. So if I understood your testimony correctly, Doctor, the
9 : 2 4 A M 2 sign wave device delivers its electrical output in one second,
9 : 2 4 A M 3 correct?

9 : 2 4 A M 4 A. That's correct.

9 : 2 4 A M 5 Q. All right. And the brief pulse device, the Thymatron
9 : 2 4 A M 6 device, delivers that same amount of electrical output, but
9 : 2 4 A M 7 spreads it over an 8-second time period?

9 : 2 4 A M 8 A. That's true. It's not always exactly 8 seconds, but it's
9 : 2 4 A M 9 in that range.

9 : 2 4 A M 10 Q. All right. Doctor, have you reviewed the treatment slips
9 : 2 5 A M 11 for Mr. Thelen's approximately 95 ECT procedures?

9 : 2 5 A M 12 A. Yes, I have.

9 : 2 5 A M 13 Q. Okay. I'd like for the benefit of the jury -- and we
9 : 2 5 A M 14 don't need to go through all 95, but look at an example of one
9 : 2 5 A M 15 procedure, and you can explain to us -- basically provide us
9 : 2 5 A M 16 general information that you provided to us on the actual
9 : 2 5 A M 17 case-specific facts. Is that okay with you, Doctor?

9 : 2 5 A M 18 A. That's fine.

9 : 2 5 A M 19 MR. ESFANDIARI: All right. Your Honor, I'm going to
9 : 2 5 A M 20 move into evidence Plaintiff's Exhibit 50. These are all the
9 : 2 5 A M 21 treatment slips.

9 : 2 5 A M 22 MS. COLE: You're putting in all of them?

9 : 2 5 A M 23 MR. ESFANDIARI: All of them, yes.

9 : 2 5 A M 24 MS. COLE: No objection, Your Honor.

9 : 2 5 A M 25 THE COURT: Admitted.

9 : 2 5 A M 1 BY MR. ESFANDIARI:

9 : 2 5 A M 2 Q. All right. And, Dr. Castleman, I'm going to show you --
9 : 2 5 A M 3 and I'll blow this up, but this is the treatment slip from
9 : 2 5 A M 4 Mr. Thelen's last ECT treatment dated July 25th, 2016. Do you
9 : 2 5 A M 5 see that on the top there, Doctor, highlighted?

9 : 2 6 A M 6 A. Yes, I do.

9 : 2 6 A M 7 MS. COLE: What Bates number is that, please?

9 : 2 6 A M 8 MR. ESFANDIARI: July 25th.

9 : 2 6 A M 9 MS. COLE: What Bates number?

9 : 2 6 A M 10 MR. ESFANDIARI: Oh, I'm sorry. 50-90.

9 : 2 6 A M 11 MS. COLE: Thank you.

9 : 2 6 A M 12 BY MR. ESFANDIARI:

9 : 2 6 A M 13 Q. And is that the treatment slips that you reviewed, Doctor?

9 : 2 6 A M 14 A. Yes, it is.

9 : 2 6 A M 15 Q. I'm going to have a blown-up version of that that we can
9 : 2 6 A M 16 see better. And so, Doctor, I'll represent to you that this is
9 : 2 6 A M 17 a blown-up version of basically -- it's a blown-up version of
9 : 2 6 A M 18 the treatment slip. Do you see it, Doctor?

9 : 2 6 A M 19 A. I do.

9 : 2 6 A M 20 Q. All right. And looking at this, what data points are of
9 : 2 6 A M 21 interest based upon what you've testified today?

9 : 2 7 A M 22 A. I'm sorry, repeat the question.

9 : 2 7 A M 23 Q. So -- first of all, I guess maybe let me lay a foundation.
9 : 2 7 A M 24 what is this slip that we're looking at here, Doctor, this
9 : 2 7 A M 25 Exhibit 50-90? what are we looking at?

9 : 2 7 A M 1 A. This slip is printed out automatically by the Thymatron
9 : 2 7 A M 2 machine immediately after a treatment.

9 : 2 7 A M 3 Q. All right. And what information, data point information
9 : 2 7 A M 4 is of relevance on this slip that you'd like to tell the jury
9 : 2 7 A M 5 about?

9 : 2 7 A M 6 A. Probably the first -- the first three of them are relevant
9 : 2 7 A M 7 to the discussion.

9 : 2 7 A M 8 Q. All right. So you were talking about current, correct,
9 : 2 7 A M 9 earlier today?

9 : 2 7 A M 10 A. Yes.

9 : 2 7 A M 11 Q. All right. What is the current that was given to
9 : 2 7 A M 12 Mr. Thelen?

9 : 2 7 A M 13 A. It was nine-tenths of one amp, which is the standard
9 : 2 7 A M 14 current that the Thymatron machine always puts out. It's not
9 : 2 8 A M 15 adjustable.

9 : 2 8 A M 16 Q. So in each of his 95 sessions, he received nine-tenths of
9 : 2 8 A M 17 one amp in terms of current, correct?

9 : 2 8 A M 18 A. That's correct.

9 : 2 8 A M 19 Q. And that is the -- you mentioned that one amp is 6 billion
9 : 2 8 A M 20 billion electrons traveling. How many is nine-tenths of one
9 : 2 8 A M 21 amp approximately? Is it about 5 billion billion?

9 : 2 8 A M 22 A. About 5 billion billion electrons, a little bit less than
9 : 2 8 A M 23 6 billion billion electrons per second flow.

9 : 2 8 A M 24 Q. What piece of data point next would you like to let us
9 : 2 8 A M 25 know about?

9 : 2 8 A M 1 A. The charge delivered.

9 : 2 8 A M 2 Q. You said the charge delivered. Well, first of all,
9 : 2 8 A M 3 Doctor, how long -- how long was the duration of the current?

9 : 2 8 A M 4 A. This one according to the printout was an 8-second
9 : 2 8 A M 5 treatment, stimulus duration.

9 : 2 8 A M 6 Q. So that's what you were telling -- excuse me. That's what
9 : 2 9 A M 7 you were telling us about where the sign wave would have
9 : 2 9 A M 8 delivered that charge in one second. Here, this was delivered
9 : 2 9 A M 9 in 8 seconds?

9 : 2 9 A M 10 MS. COLE: Objection. Form, leading.

9 : 2 9 A M 11 THE COURT: That's fine. Overruled.

9 : 2 9 A M 12 THE WITNESS: That's correct.

9 : 2 9 A M 13 BY MR. ESFANDIARI:

9 : 2 9 A M 14 Q. All right. And then you said you wanted to talk about --
9 : 2 9 A M 15 to us about the charge delivered. Can you tell us about that?

9 : 2 9 A M 16 A. Yeah. That's basically the total number of electrons that
9 : 2 9 A M 17 were forced to flow through the patient's head during the
9 : 2 9 A M 18 entirety of the treatment, and that amount turns out to be
9 : 2 9 A M 19 about 3 billion billion electrons. It's one half -- it's
9 : 2 9 A M 20 equivalent to one half of an amp flowing for one second.

9 : 2 9 A M 21 Q. So in Mr. Thelen's ECT treatment, he had 3 billion billion
9 : 2 9 A M 22 electrons of energy transmitted out of this machine, correct?

9 : 2 9 A M 23 A. 3 billion billion electrons, yes, forced through his head,
9 : 2 9 A M 24 correct.

9 : 3 0 A M 25 Q. And then we see a reference here to energy set 100

9:30 AM 1 percent. What does that mean, Doctor?

9:30 AM 2 A. There is a setting on the front of the Thymatron machine
9:30 AM 3 that allows the user to set the -- basically the strength of
9:30 AM 4 the electrical dose that's delivered to the patient, and if
9:30 AM 5 that setting is put at 100 percent, then the patient gets the
9:30 AM 6 maximum amount of electrical charge that the system capable of.
9:30 AM 7 If you reduce that to a smaller percentage, then the total
9:30 AM 8 amount of charge that's delivered is reduced by a factor. For
9:30 AM 9 example, if you set the energy setting to 50 percent, then
9:30 AM 10 the -- then the amount -- the number of electrons delivered to
9:30 AM 11 the patient's head would be one half of what it is at 100
9:30 AM 12 percent.

9:30 AM 13 Q. Did -- in -- in the treatment slips for Mr. Thelen that
9:30 AM 14 you reviewed, was he getting 100% for all 95 treatments?

9:31 AM 15 A. No. Actually his earlier treatments started out I think
9:31 AM 16 as low as 20% setting to begin with, and that gradually
9:31 AM 17 increased over the first 50 of his treatments until by about
9:31 AM 18 the 50th treatment, he was getting 100%. And then for the last
9:31 AM 19 46 or so treatments, they had -- those were all done at 100%
9:31 AM 20 charge.

9:31 AM 21 Q. Are you familiar -- the jury has heard some terms about
9:31 AM 22 bilateral and unilateral electric placement. Are you familiar
9:31 AM 23 with those terms, Doctor?

9:31 AM 24 A. Yes, I am.

9:31 AM 25 Q. Can you very briefly tell us the difference between

9 : 3 1 A M 1 bilateral and unilateral?

9 : 3 1 A M 2 A. Right. That refers to the position of the two electrodes
9 : 3 1 A M 3 on the head. The electrodes actually deliver the current to
9 : 3 1 A M 4 the patient's head. With the -- with the unilateral, right
9 : 3 2 A M 5 unilateral, one is on the top of the head, and one is on the
9 : 3 2 A M 6 right temple. With the bilateral placement of electrode, the
9 : 3 2 A M 7 electrodes are on the two temples. But in either case, the
9 : 3 2 A M 8 electrons are going to flow from one electrode to the other.
9 : 3 2 A M 9 So it's just a matter of the path that the electricity takes as
9 : 3 2 A M 10 they flow through the patient's head.

9 : 3 2 A M 11 Q. So if I understand your testimony correctly, the placement
9 : 3 2 A M 12 of the electrodes determines essentially the path that the
9 : 3 2 A M 13 current is going to take?

9 : 3 2 A M 14 A. That's correct.

9 : 3 2 A M 15 Q. All right. So by being bilateral, it's going to go across
9 : 3 2 A M 16 likely, and in this way they're going to try to get from one
9 : 3 2 A M 17 electrode to the other electrode?

9 : 3 2 A M 18 A. Right. Because when the voltage is applied, the electrons
9 : 3 2 A M 19 are going to start moving toward the positive electrode, and
9 : 3 2 A M 20 they will find -- electricity follows the path of least
9 : 3 3 A M 21 resistance, so each electron will find a path to get to the
9 : 3 3 A M 22 other electrode. Some of them will go -- some of them will go
9 : 3 3 A M 23 through the scalp under the skull because the skull is somewhat
9 : 3 3 A M 24 higher resistance than the skin of the scalp. But others will
9 : 3 3 A M 25 go through the skull and into the brain, and some will find

9 : 3 3 A M 1 holes in the skull where -- holes where nerves and arteries and
9 : 3 3 A M 2 veins go through, and they'll rush to those -- to those
9 : 3 3 A M 3 locations to find a path to get through the head to the other
9 : 3 3 A M 4 side, to the terminal on the other side. And so some of those
9 : 3 3 A M 5 will flow through the brain. Many of the electrons will flow
9 : 3 3 A M 6 through the brain. Some will flow around the skull.

9 : 3 3 A M 7 Q. There was a lot there to unpack, Doctor. Let me just try
9 : 3 3 A M 8 and see if I can understand you.

9 : 3 3 A M 9 MS. COLE: Your Honor, I interpose an objection here.
9 : 3 4 A M 10 It's continuing to be beyond the scope of the proffer.

9 : 3 4 A M 11 MR. ESFANDIARI: Not at all, Your Honor. We're
9 : 3 4 A M 12 talking about electricity.

9 : 3 4 A M 13 THE COURT: It's overruled.

9 : 3 4 A M 14 BY MR. ESFANDIARI:

9 : 3 4 A M 15 Q. Dr. Castleman, I'm going to unpack kind of what you just
9 : 3 4 A M 16 testified to. We have the two electrode -- first of all, in
9 : 3 4 A M 17 reviewing Mr. Thelen's treatment slips, did he receive
9 : 3 4 A M 18 unilateral, bilateral? Can you tell us a little bit about what
9 : 3 4 A M 19 type of placement he received?

9 : 3 4 A M 20 A. Looking at the list, his first 16 treatments, as I recall,
9 : 3 4 A M 21 were delivered with the unilateral placement of electrodes, one
9 : 3 4 A M 22 on top of the head and one on the right temple, but the
9 : 3 4 A M 23 remainder of them, about 80 or so, were delivered with the
9 : 3 4 A M 24 bilateral location where the electrodes are on the two temples,
9 : 3 4 A M 25 and the current flows straight through the head.

9 : 3 4 A M 1 Q. All right. So you have the electrodes, and you've told us
9 : 3 4 A M 2 3 billion billion electrons are trying to get from one end to
9 : 3 5 A M 3 the other; is that right?

9 : 3 5 A M 4 A. Correct.

9 : 3 5 A M 5 Q. Okay. Now, obviously there's the skull and the head in
9 : 3 5 A M 6 between these two electrodes. Are all of the 3 billion billion
9 : 3 5 A M 7 electrons going through the brain, or are some of them taking
9 : 3 5 A M 8 different paths outside of the brain?

9 : 3 5 A M 9 A. The electrons repel each other, so they're attracted to
9 : 3 5 A M 10 the opposite electrode, but they're also repelled by the other
9 : 3 5 A M 11 electrons. So each electron will find its own path to get from
9 : 3 5 A M 12 one terminal to the other. And as I said, some will find their
9 : 3 5 A M 13 way through the scalp where the resistance is somewhat lower
9 : 3 5 A M 14 than the bone in the skull. Others will go through the skull,
9 : 3 5 A M 15 and others will find holes in the skull, openings in the skull
9 : 3 5 A M 16 to get through. So a portion of those electrons will go
9 : 3 5 A M 17 through the brain.

9 : 3 5 A M 18 Q. Has any research been conducted to determine based upon
9 : 3 6 A M 19 that -- that current, that 3 billion billion electrons that are
9 : 3 6 A M 20 being transmitted, what percentage of them are actually making
9 : 3 6 A M 21 contact inside the brain versus what are getting repelled by
9 : 3 6 A M 22 the skull and --

9 : 3 6 A M 23 MS. COLE: Objection. Beyond the scope.

9 : 3 6 A M 24 THE COURT: Come on up, please.

9 : 3 6 A M 25 (At sidebar on the record.)

9 : 3 6 A M 1 THE COURT: So the very general stuff, you know, I
9 : 3 6 A M 2 let that go. Now he's talking about exactly how this thing
9 : 3 6 A M 3 works, which is what -- he was supposed to be just talking
9 : 3 6 A M 4 about science.

9 : 3 6 A M 5 MR. ESFANDIARI: He's just talking about science.

9 : 3 6 A M 6 THE COURT: He's talking about how it's going to
9 : 3 6 A M 7 affect his brain. That was your last question.

9 : 3 6 A M 8 MR. ESFANDIARI: It's just asking how much -- so
9 : 3 6 A M 9 their cross-examination is going to be that the electricity
9 : 3 6 A M 10 that is being generated is going to be absorbed -- not all of
9 : 3 7 A M 11 it is going to end up inside the brain. It's going to go --
9 : 3 7 A M 12 the skull is going to take a lot of this. That's going to be
9 : 3 7 A M 13 their cross-examination. I'm just trying to anticipate that
9 : 3 7 A M 14 cross so that they can -- he's basically going to testify
9 : 3 7 A M 15 that --

9 : 3 7 A M 16 THE COURT: Do it on redirect, if you need to,
9 : 3 7 A M 17 number 1, because if they open the door to all this stuff that
9 : 3 7 A M 18 they're complaining about, that's different. But you can't
9 : 3 7 A M 19 preemptively open the door and say, "well, they were going to
9 : 3 7 A M 20 get into it, so I am." Maybe they don't get into it.

9 : 3 7 A M 21 MR. ESFANDIARI: Okay. Okay.

9 : 3 7 A M 22 THE COURT: You know, I probably should have
9 : 3 7 A M 23 sustained the prior objection, but I thought it was something
9 : 3 7 A M 24 everybody knew anyway. You hook one wire up, and it was just
9 : 3 7 A M 25 general information, but now you're getting into too much

9 : 3 7 A M 1 detail.

9 : 3 7 A M 2 MR. ESFANDIARI: Okay.

9 : 3 7 A M 3 (End of discussion at sidebar.)

9 : 3 7 A M 4 THE COURT: Objection sustained.

9 : 3 7 A M 5 BY MR. ESFANDIARI:

9 : 3 8 A M 6 Q. Doctor, in terms of the 3 -- I'm going to take this down
9 : 3 8 A M 7 actually.

9 : 3 8 A M 8 The 3 billion billion electrons that are being
9 : 3 8 A M 9 transmitted, is that a lot of current?

9 : 3 8 A M 10 A. It is relative to what a human body is accustomed to
9 : 3 8 A M 11 seeing. Yes, it is a lot of current.

9 : 3 8 A M 12 Q. Can you describe to us kind of -- you know, most people
9 : 3 8 A M 13 aren't familiar with ECT devices, but how would that relate to
9 : 3 8 A M 14 another product that, you know, people may be familiar with?

9 : 3 8 A M 15 MS. COLE: Objection. Form, Your Honor. This is
9 : 3 8 A M 16 beyond the scope and as discussed in the prior hearing.

9 : 3 8 A M 17 THE COURT: This is -- this was discussed. Objection
9 : 3 8 A M 18 to that question so far is overruled, but there may be another
9 : 3 8 A M 19 objection if it goes too far. Go ahead.

9 : 3 8 A M 20 BY MR. ESFANDIARI:

9 : 3 8 A M 21 Q. Go ahead, Doctor.

9 : 3 8 A M 22 A. I'm sorry. Repeat, please.

9 : 3 8 A M 23 Q. So in terms of -- if you -- you mentioned 3 billion
9 : 3 8 A M 24 billion electrons in one amp is a significant amount of
9 : 3 9 A M 25 current. I'm asking you can you please compare that to another

9 : 3 9 A M 1 product that we, the jury, or the people in this courtroom may
9 : 3 9 A M 2 be familiar with?

9 : 3 9 A M 3 A. Okay. A stun gun, like --

9 : 3 9 A M 4 MS. COLE: Objection, your Honor.

9 : 3 9 A M 5 THE COURT: Overruled.

9 : 3 9 A M 6 BY MR. ESFANDIARI:

9 : 3 9 A M 7 Q. Go ahead, Doctor.

9 : 3 9 A M 8 A. Such as a taser, for example, can incapacitate a person in
9 : 3 9 A M 9 about a second using 3.6 milliamperes of current, average
9 : 3 9 A M 10 current. The Thymatron uses 900 milliamps in its pulses, and
9 : 3 9 A M 11 if you take into account the dead time between the pulses, the
9 : 3 9 A M 12 average current is about 63 milliamps, so -- over an 8-second
9 : 3 9 A M 13 period. So the taser gives the suspect 3.6 milliamps for one
9 : 4 0 A M 14 second average current, and the Thymatron gives the patient 63
9 : 4 0 A M 15 milliamps of current for 8 seconds.

9 : 4 0 A M 16 Q. Can you in terms of -- Doctor, I didn't understand that,
9 : 4 0 A M 17 I'm sorry. Can you in terms of current -- like, is it twice
9 : 4 0 A M 18 the amount, three times the amount? What are we talking about?

9 : 4 0 A M 19 A. In that particular example, it's 16 times as much.

9 : 4 0 A M 20 Q. All right. So the ECT device is generating 16 times the
9 : 4 0 A M 21 amount of current that a taser gun would?

9 : 4 0 A M 22 MS. COLE: Okay. Leading.

9 : 4 0 A M 23 THE COURT: Sustained.

9 : 4 0 A M 24 BY MR. ESFANDIARI:

9 : 4 0 A M 25 Q. Can you summarize the testimony you gave in terms of the

9 : 4 0 A M 1 comparison between the taser gun and the ECT device in terms of
9 : 4 0 A M 2 multiplication, please?

9 : 4 0 A M 3 A. Yes, the average current delivered by the Thymatron for 8
9 : 4 0 A M 4 seconds is 16 times the average current delivered by a taser
9 : 4 1 A M 5 for one second.

9 : 4 1 A M 6 Q. All right. Is there any other product that you can
9 : 4 1 A M 7 compare it to, Doctor?

9 : 4 1 A M 8 MS. COLE: Objection. Cumulative.

9 : 4 1 A M 9 THE COURT: Overruled.

9 : 4 1 A M 10 THE WITNESS: The American Meat Institute recommends
9 : 4 1 A M 11 that pigs be stunned to make them unconscious before they're
9 : 4 1 A M 12 slaughtered, and they recommend 1 amp of current for one
9 : 4 1 A M 13 second, and the amount of current that the Thymatron produces
9 : 4 1 A M 14 is nine-tenths of an amp. So they're similar -- the currents
9 : 4 1 A M 15 are similar.

9 : 4 1 A M 16 BY MR. ESFANDIARI:

9 : 4 1 A M 17 Q. Sorry, Doctor. I had to check my notes on something.

9 : 4 1 A M 18 Doctor, have you given us your testimony here today
9 : 4 1 A M 19 to a reasonable degree of scientific and engineering certainty?

9 : 4 1 A M 20 A. Yes, I have.

9 : 4 2 A M 21 MR. ESFANDIARI: I have nothing further for you at
9 : 4 2 A M 22 this time, Doctor. Thank you for coming.

9 : 4 2 A M 23 THE COURT: Cross-examination?

9 : 4 2 A M 24 MS. COLE: Yes, Your Honor.

9 : 4 2 A M 25 CROSS-EXAMINATION

1 BY MS. COLE:

2 Q. Good morning, Dr. Castleman.

3 A. Good morning.

4 Q. You yourself have never used an ECT device; have you, sir?

5 A. Could you be a little bit louder for me, please?

6 Q. I'll try. You yourself have never used an ECT device;
7 have you, sir?

8 A. No, I haven't.

9 Q. Let's talk for a moment about all those billions of
10 electrons. Have you heard of something called Avogadro's
11 number?

12 A. Yes, ma'am, I have.

13 Q. And Avogadro's number is 6 times 10 to the 23rd, true?

14 A. That's correct.

15 Q. And that describes the number of electrons -- say if you
16 have a gram of water, which is about a quarter of a teaspoon of
17 water, Avogadro's number would say that it would be 6 times 10
18 to the 23rd electrons from the hydrogen in that gram of water,
19 right?

20 A. In the hydrogen, I think that's correct.

21 Q. And 10 to the 23rd is how many billion billion billion
22 billions?

23 A. Let's see. A billion billion is 10 to the 18th, so 10 to
24 the 23rd would be --

25 Q. Another 5 zeros on top of that?

9 : 4 3 A M 1 A. -- another 10 to the fifth, another 100,000 bigger than
9 : 4 3 A M 2 6 billion billion, yes.

9 : 4 3 A M 3 Q. So the number of electrons in a quarter of a teaspoon of
9 : 4 4 A M 4 water from the hydrogen alone, not counting the oxygen in the
9 : 4 4 A M 5 water, but just from the hydrogen alone is -- well, a whole lot
9 : 4 4 A M 6 more than a billion billion?

9 : 4 4 A M 7 A. That's correct.

9 : 4 4 A M 8 Q. So when you're talking about 6 billion -- when you're
9 : 4 4 A M 9 talking about 1 billion billion electrons going through a
9 : 4 4 A M 10 current, that sort of puts it into perspective; doesn't it,
9 : 4 4 A M 11 sir?

9 : 4 4 A M 12 A. No, not at all --

9 : 4 4 A M 13 Q. I see.

9 : 4 4 A M 14 A. -- because what we're talking about here is electrons
9 : 4 4 A M 15 moving. As long as those electrons are sitting still, it
9 : 4 4 A M 16 doesn't create any --

9 : 4 4 A M 17 MS. COLE: Your Honor, I asked him a yes/no question.

9 : 4 4 A M 18 THE COURT: Yes. His answer was yes or no?

9 : 4 4 A M 19 THE WITNESS: I'm sorry. Repeat, please.

9 : 4 4 A M 20 THE COURT: Ask the question again. Answer yes or
9 : 4 4 A M 21 no, please.

9 : 4 4 A M 22 MS. COLE: Could the reporter please repeat back my
9 : 4 4 A M 23 question?

9 : 4 4 A M 24 THE COURT: So the number of electrons in a quarter
9 : 4 4 A M 25 of a teaspoon of water from the hydrogen alone, not counting

9 : 4 4 A M 1 the oxygen in the water, but just from the hydrogen alone,
9 : 4 5 A M 2 well, is a whole lot more than a billion --

9 : 4 5 A M 3 MS. COLE: I think I said billion billion.

9 : 4 5 A M 4 THE COURT: Billion billion.

9 : 4 5 A M 5 THE WITNESS: Yes, if I understand your question
9 : 4 5 A M 6 correctly, the number of electrons in a gram of water, the
9 : 4 5 A M 7 hydrogen alone is more than 6 billion billion.

9 : 4 5 A M 8 BY MS. COLE:

9 : 4 5 A M 9 Q. Thank you, sir. I want to show you another one of these
9 : 4 5 A M 10 charts that you looked at earlier, and this one is the first
9 : 4 5 A M 11 treatment that Mr. Thelen received. What was the energy set
9 : 4 5 A M 12 at?

9 : 4 5 A M 13 A. Energy was set at 20%.

9 : 4 5 A M 14 Q. So when you were talking about 100% charge, this is about
9 : 4 5 A M 15 one-fifth of that?

9 : 4 5 A M 16 A. That's correct.

9 : 4 5 A M 17 Q. And it's the doctor that gets to choose what setting he
9 : 4 6 A M 18 sets that number at?

9 : 4 6 A M 19 A. I'm sorry. Repeat, please.

9 : 4 6 A M 20 Q. The doctor gets to choose what number he sets that at?

9 : 4 6 A M 21 A. That's correct.

9 : 4 6 A M 22 Q. And the machine allows the doctor to choose anywhere from
9 : 4 6 A M 23 what, 5% up to 100% for the charge?

9 : 4 6 A M 24 A. That's what I recall, yes.

9 : 4 6 A M 25 Q. And if at 20% of the charge, the -- 20% of the energy,

9 : 4 6 A M 1 then the charge is going to be 20% of the total that the
9 : 4 6 A M 2 machine can produce, right?

9 : 4 6 A M 3 A. That's correct.

9 : 4 6 A M 4 Q. So here on the first application of ECT to Mr. Thelen, the
9 : 4 6 A M 5 charge delivered was about 100 millicoulombs?

9 : 4 6 A M 6 A. That's correct.

9 : 4 6 A M 7 Q. What's coulomb? I don't think you talked about coulombs.
9 : 4 6 A M 8 What's a coulomb?

9 : 4 6 A M 9 A. A coulomb is 6 billion billion electrons.

9 : 4 7 A M 10 Q. Okay.

9 : 4 7 A M 11 A. It's exactly that.

9 : 4 7 A M 12 Q. So it's the same thing as the amp?

9 : 4 7 A M 13 A. I'm sorry?

9 : 4 7 A M 14 Q. It's -- okay. It's the -- strike that.

9 : 4 7 A M 15 So the current here on this first treatment was the
9 : 4 7 A M 16 same, because the .9 amps is what's used for the current
9 : 4 7 A M 17 because you have to keep something constant when you have an
9 : 4 7 A M 18 equation that relates the number of amps to the total energy,
9 : 4 7 A M 19 and you stick in something called resistance for Ohm's law,
9 : 4 7 A M 20 right?

9 : 4 7 A M 21 A. That's correct.

9 : 4 7 A M 22 Q. What's resistance?

9 : 4 7 A M 23 A. Resistance is the opposition that a material will present
9 : 4 7 A M 24 to current flow. It basically reflects how tightly the
9 : 4 7 A M 25 electrons are connected to the atoms that they are circling

9 : 4 7 A M 1 around. So something like copper has a low resistance because
9 : 4 7 A M 2 the electrons are pretty much free to move from one atom to the
9 : 4 8 A M 3 next, but an insulator, like glass, for example, the electrons
9 : 4 8 A M 4 are very tightly connected to their atoms, so it takes a very
9 : 4 8 A M 5 high voltage to put enough pressure on those electrons to make
9 : 4 8 A M 6 them jump to their neighbors. So you -- with a high
9 : 4 8 A M 7 resistance, you get less current flow for the same voltage. It
9 : 4 8 A M 8 would be like using a smaller hose.

9 : 4 8 A M 9 Q. I think that's good.

9 : 4 8 A M 10 Now, a resistance to electrical flow will slow down
9 : 4 8 A M 11 or prevent electrons from flowing through, true?

9 : 4 8 A M 12 A. What it does is reduce the number of electrons that will
9 : 4 8 A M 13 flow per second for the same -- for the same voltage.

9 : 4 8 A M 14 Q. Yes, sir. Now, the human skin is a resistor, yes?

9 : 4 8 A M 15 A. Every material has some resistance.

9 : 4 8 A M 16 Q. I'm just -- that was a yes or no. The human skin is a
9 : 4 9 A M 17 resistor, yes?

9 : 4 9 A M 18 A. The human skin has resistance, yes.

9 : 4 9 A M 19 Q. And the human bone has resistance?

9 : 4 9 A M 20 A. It does.

9 : 4 9 A M 21 Q. And if electricity -- these -- these electrons try to find
9 : 4 9 A M 22 their way through and it meets a resistor, it's going to not go
9 : 4 9 A M 23 through as easily as it can go around, true?

9 : 4 9 A M 24 A. Not as easily, yes.

9 : 4 9 A M 25 Q. And you don't know how much electrons -- how many of these

9 : 4 9 A M 1 electrons that are being generated by the Thymatron device
9 : 4 9 A M 2 actually go through the skin and the scalp and the bone of the
9 : 4 9 A M 3 skull during an -- during an ECT procedure; do you, sir?

9 : 4 9 A M 4 A. Not exactly. That's not known.

9 : 4 9 A M 5 Q. And without knowing that, you don't actually know how much
9 : 5 0 A M 6 current is going through to the brain inside the skin and the
9 : 5 0 A M 7 skull?

9 : 5 0 A M 8 A. There have been studies that -- that studied that.

9 : 5 0 A M 9 Q. That was a yes/no question.

9 : 5 0 A M 10 A. There's some --

9 : 5 0 A M 11 Q. That was a yes/no question. Do you know what that number
9 : 5 0 A M 12 is?

9 : 5 0 A M 13 A. The number -- no --

9 : 5 0 A M 14 THE COURT: Time out. Time out. Stop talking when I
9 : 5 0 A M 15 start talking. The reason I'm talking is because both of you
9 : 5 0 A M 16 all were talking over each other.

9 : 5 0 A M 17 MS. COLE: I apologize, Your Honor.

9 : 5 0 A M 18 THE COURT: All right? So just ask the question
9 : 5 0 A M 19 again, and then answer the question that's asked. All right?
9 : 5 0 A M 20 Go ahead. Try it again.

9 : 5 0 A M 21 MS. COLE: Get talking about science, and it's one of
9 : 5 0 A M 22 my favorites. I'm sorry, Judge.

9 : 5 0 A M 23 BY MS. COLE:

9 : 5 0 A M 24 Q. Dr. Castleman?

9 : 5 0 A M 25 A. Yes.

9:50 AM 1 Q. You don't know how many of the electrons actually get
9:50 AM 2 through to the brain during an ECT procedure, true?

9:50 AM 3 A. Can you restate your question a little more precisely like
9:50 AM 4 that? Because there is knowledge about that, but nobody knows
9:50 AM 5 the exact number.

9:50 AM 6 Q. Are you familiar with literature that says about 5% of the
9:51 AM 7 electrons that are generated from the machine actually get
9:51 AM 8 through to the brain tissue?

9:51 AM 9 A. I'm familiar with the literature on that subject,
9:51 AM 10 including that particular paper.

9:51 AM 11 Q. Are you familiar with any other papers that give a number
9:51 AM 12 other than 5%?

9:51 AM 13 A. Yes.

9:51 AM 14 Q. Give me the citation for that paper, that other paper that
9:51 AM 15 gives another number.

9:51 AM 16 A. Yes. The 1942 paper by Smith, et al., probably the first
9:51 AM 17 paper on this subject.

9:51 AM 18 Q. And that one says 5%?

9:51 AM 19 MR. ESFANDIARI: Let him finish, please.

9:51 AM 20 A. -- said between 5 and 10 percent.

9:51 AM 21 Q. Okay. What's the other paper?

9:51 AM 22 A. I don't remember offhand the other citations. It seemed
9:51 AM 23 like there were at least five or six I've studied, and they
9:51 AM 24 were done on cadavers, and they all got values in the 5 to 10
9:52 AM 25 percent range.

9 : 5 2 A M 1 MS. COLE: Your Honor, move to strike the latter part
9 : 5 2 A M 2 of that question as not answering the question.

9 : 5 2 A M 3 THE COURT: Well, ask the question again, and just
9 : 5 2 A M 4 answer her question directly. And then if your -- the lawyer
9 : 5 2 A M 5 that called you wants further explanation, he'll have a
9 : 5 2 A M 6 redirect, and he'll ask you to explain your answer, all right?

9 : 5 2 A M 7 THE WITNESS: Thank you, Your Honor.

9 : 5 2 A M 8 THE COURT: Go ahead. Ask it again, please.

9 : 5 2 A M 9 BY MS. COLE:

9 : 5 2 A M 10 Q. On these other papers that you don't remember the author
9 : 5 2 A M 11 of or the name of right now, did they have any different number
9 : 5 2 A M 12 than 5% that was quoted by Smith?

9 : 5 2 A M 13 A. Yes.

9 : 5 2 A M 14 Q. That was the answer. Thank you.

9 : 5 3 A M 15 When we're talking about -- when we're talking about
9 : 5 3 A M 16 sign wave and brief pulse and pulse, are you familiar with this
9 : 5 3 A M 17 diagram which is taken from the *Electroconvulsive Therapy Task*
9 : 5 3 A M 18 *Force* book?

9 : 5 3 A M 19 A. I am.

9 : 5 3 A M 20 Q. And would you agree, sir, that this one up here is a sign
9 : 5 3 A M 21 wave? It's a continuous wave of electrons.

9 : 5 3 A M 22 A. It's approximately a sign wave, yes.

9 : 5 3 A M 23 Q. And then when we have a brief pulse, we're talking about
9 : 5 3 A M 24 this chart here, right?

9 : 5 3 A M 25 A. That's correct.

9 : 5 3 A M 1 Q. Now, in a brief pulse, the electrons that do get through
9 : 5 4 A M 2 get through in bursts, yes?

9 : 5 4 A M 3 A. Yes.

9 : 5 4 A M 4 Q. And these electrons that get through in bursts are about
9 : 5 4 A M 5 1 millisecond and then 7 or 8 seconds of no electrons, yes?

9 : 5 4 A M 6 A. No. You said 7 or 8 seconds.

9 : 5 4 A M 7 Q. I'm sorry, milliseconds.

9 : 5 4 A M 8 A. That's correct.

9 : 5 4 A M 9 Q. Sorry. So you have 1 millisecond of burst, and then 7 or
9 : 5 4 A M 10 8 milliseconds of nothing?

9 : 5 4 A M 11 A. That's correct.

9 : 5 4 A M 12 Q. So if you have a -- 7 seconds where the machine is on,
9 : 5 4 A M 13 only 1 second of that is actually going into the person's body,
9 : 5 4 A M 14 yes?

9 : 5 4 A M 15 A. That's correct.

9 : 5 4 A M 16 Q. Now, what was used in Mr. Thelen was not the brief pulse,
9 : 5 4 A M 17 but the ultrabrief pulse, right?

9 : 5 5 A M 18 A. That's correct.

9 : 5 5 A M 19 Q. And the ultrabrief pulse is only .25 milliseconds of
9 : 5 5 A M 20 current followed by 7 milliseconds of nothing, right?

9 : 5 5 A M 21 A. I think that's correct based on the frequency.

9 : 5 5 A M 22 Q. So the amount of electrons that are going into a patient
9 : 5 5 A M 23 of ECT is .25 milliseconds followed by 7 or so milliseconds of
9 : 5 5 A M 24 nothing? In other words, you've got a quarter of the amount of
9 : 5 5 A M 25 current going through to the patient.

9 : 5 5 A M 1 A. That would depend on the frequency. I would need to know
9 : 5 5 A M 2 the frequency before I could answer that question.

9 : 5 5 A M 3 Q. Yes, sir. Let's look at that frequency. Does that help
9 : 5 6 A M 4 you?

9 : 5 6 A M 5 A. Yeah, 30 hertz.

9 : 5 6 A M 6 Q. Thank you. So answering my question then, it is one
9 : 5 6 A M 7 quarter of the amount of electrons that are being applied to
9 : 5 6 A M 8 that person's body, and then 7 milliseconds of nothing?

9 : 5 6 A M 9 A. Correct, yes.

9 : 5 6 A M 10 Q. So that would cut the total amount of electrons going
9 : 5 6 A M 11 through by one quarter of what you testified earlier about with
9 : 5 6 A M 12 a pulse rather than a brief pulse?

9 : 5 6 A M 13 A. In this case, yes.

9 : 5 6 A M 14 Q. And Mr. Thelen for all of his treatments got a brief
9 : 5 6 A M 15 pulse, right?

9 : 5 6 A M 16 A. That's my recollection, yes.

9 : 5 7 A M 17 MR. ESFANDIARI: Objection.

9 : 5 7 A M 18 Q. And those other -- those other electrical generators that
9 : 5 7 A M 19 you talked about, the taser and the stun gun, they're not using
9 : 5 7 A M 20 brief pulse; are they?

9 : 5 7 A M 21 A. The taser uses something very similar to brief pulse, yes.

9 : 5 7 A M 22 Q. But it doesn't -- it's not --

9 : 5 7 A M 23 A. As a matter of fact, they're shorter even than the ones
9 : 5 7 A M 24 that the Thymatron uses.

9 : 5 7 A M 25 Q. It uses -- are you saying that it uses shorter than an

9 : 5 7 A M 1 ultrabrief pulse of .25?

9 : 5 7 A M 2 A. Yes.

9 : 5 7 A M 3 Q. Where are you getting your information on that, sir?

9 : 5 7 A M 4 A. From the -- from the training manual for the taser X26
9 : 5 7 A M 5 stun gun.

9 : 5 7 A M 6 Q. And when that taser is shot at a -- I think you called
9 : 5 7 A M 7 them a suspect. When the taser is shot at the suspect, it's
9 : 5 7 A M 8 not shot at a bony area of the body; is it, sir? It's shot at
9 : 5 8 A M 9 soft tissue?

9 : 5 8 A M 10 A. That's correct, muscle tissue typically.

9 : 5 8 A M 11 Q. And there's no real resistance at muscle tissue to the
9 : 5 8 A M 12 electrical charge being -- hitting the body, is there?

9 : 5 8 A M 13 A. No, that's not correct. Muscle tissue has its own
9 : 5 8 A M 14 resistance.

9 : 5 8 A M 15 Q. It's less than the skull; isn't it, sir?

9 : 5 8 A M 16 A. Typically less than bone, yes.

9 : 5 8 A M 17 MS. COLE: That's all the questions I have for you,
9 : 5 8 A M 18 sir. Thank you very much.

9 : 5 8 A M 19 MR. ESFANDIARI: Ms. Cole, can you leave your stuff
9 : 5 8 A M 20 up there, please?

9 : 5 8 A M 21 MS. COLE: My stuff?

9 : 5 8 A M 22 MR. ESFANDIARI: Yes. Thank you.

9 : 5 8 A M 23 REDIRECT EXAMINATION

9 : 5 8 A M 24 BY MR. ESFANDIARI:

9 : 5 8 A M 25 Q. Dr. Castleman, interesting. The questions I wanted to ask

9 : 5 8 A M 1 you, she objected to, so she can ask --

9 : 5 8 A M 2 THE COURT: Excuse me. That's not a proper predicate
9 : 5 8 A M 3 for a question. Try again.

9 : 5 8 A M 4 MR. ESFANDIARI: I understand.

9 : 5 8 A M 5 BY MR. ESFANDIARI:

9 : 5 8 A M 6 Q. All right, Dr. Castleman. Ms. Cole put up -- this is the
9 : 5 8 A M 7 first treatment from Mr. Thelen, correct, Doctor, I believe?

9 : 5 8 A M 8 A. That's correct.

9 : 5 8 A M 9 Q. May of 2014, correct?

9 : 5 8 A M 10 A. Yes.

9 : 5 8 A M 11 Q. And you testified that he started out small, and then
9 : 5 9 A M 12 gradually the energy output was increased for Mr. Thelen,
9 : 5 9 A M 13 correct?

9 : 5 9 A M 14 A. That's correct.

9 : 5 9 A M 15 Q. All right. To the point that I believe you testified the
9 : 5 9 A M 16 last 40 or so were at 100%?

9 : 5 9 A M 17 A. That's right.

9 : 5 9 A M 18 Q. All right. So Ms. Cole was talking about brief pulse and
9 : 5 9 A M 19 ultrabrief pulse, and she pointed to this .25, right?

9 : 5 9 A M 20 MS. COLE: Are you writing on my exhibit?

9 : 5 9 A M 21 MR. ESFANDIARI: I can give you a new one.

9 : 5 9 A M 22 BY MR. ESFANDIARI:

9 : 5 9 A M 23 Q. She pointed to that one, correct?

9 : 5 9 A M 24 A. Yes.

9 : 5 9 A M 25 Q. However, the one that we were looking at, the final one,

9:59 AM 1 the final treatment that he received actually and the majority
9:59 AM 2 of the treatments that he received, what is the pulse listed?

9:59 AM 3 A. It's one half of a millisecond.

9:59 AM 4 Q. So double the one that she showed you from the first
9:59 AM 5 treatment, correct?

9:59 AM 6 A. That's correct.

9:59 AM 7 Q. Now, there was a few questions she asked you that you
9:59 AM 8 wanted to explain further. I frankly couldn't understand her
10:00 AM 9 questions. She was talking about how many electrons are in
10:00 AM 10 water versus the electricity that this machine is generating to
10:00 AM 11 cause a seizure. You began to explain, but then you were
10:00 AM 12 prevented from doing so. Please go ahead and give us why the
10:00 AM 13 analogy she was talking about when it came to water versus
10:00 AM 14 electricity, why that is not an accurate analogy.

10:00 AM 15 A. Okay. The point she was making is that there are a huge
10:00 AM 16 amount -- huge numbers of electrons in everything. Even a
10:00 AM 17 small amount of water has billions and billions of electrons in
10:00 AM 18 it. But when we talk about current, we're talking about the
10:00 AM 19 number of electrons that are moving, because if an electron is
10:00 AM 20 just sitting there spinning around its nucleus, no heat is
10:00 AM 21 generated. No harm is done. But once those electrons start
10:00 AM 22 moving, that's when you get energy transfer, when you get
10:00 AM 23 heating building up and other changes taking place in the
10:00 AM 24 tissue.

10:01 AM 25 So the thing that's important in this situation is

1 the current, the movement of the electrons, and so what we're
2 concerned with here is how many electrons are moving during the
3 treatment. That's what determines the amount of the dose.

4 Q. And that's why when we drink a cup of water, we don't get
5 a seizure, right?

6 A. Correct. Those electrons are not causing any trouble.

7 Q. Right. And that's why when we drink a cup of water,
8 nobody need put us under anesthesia and give us muscle
9 relaxers, right?

10 MS. COLE: Objection, Your Honor. Beyond the scope.

11 THE COURT: Sustained.

12 BY MR. ESFANDIARI:

13 Q. You were also asked about the amount of -- you testified
14 there's 3 billion billion electrons being generated from the
15 machine and what percentage of those actually make it to the
16 brain. You recall that examination, Doctor?

17 A. I do, yes.

18 Q. And I also tried to ask that of you in direct, but the
19 studies that have been done, what -- what are they indicating?

20 A. They indicate that the higher resistance of the skull does
21 cause a percentage of the current to flow not directly through
22 the brain, but up through the scalp and potentially underneath
23 the skull. In other words, every electron is finding its own
24 path to get from one electrode to the other. But the studies
25 that have been done -- and they've been done on cadaver

1 material, which is not exactly the same as living material --
2 but they've shown that it appears that between 5 and 10% of the
3 current flow actually goes through the brain. The rest takes
4 other paths through the head that do not involve going through
5 the brain.

6 So it's -- it's -- it seems to be somewhere between 5
7 and 10% of the current actually flows through the brain.

8 Q. So these cadaver studies, these are basically people who
9 have died, and then they're using their bodies to administer
10 electricity on them to determine how much of the current
11 actually makes it through the brain, right?

12 MS. COLE: Objection, Your Honor. Beyond the scope.

13 MR. ESFANDIARI: That's not beyond the scope, Judge.

14 THE COURT: I'll allow that. This is not another
15 redirect where we have to cover everything that's already been
16 covered in more detail. Go ahead.

17 BY MR. ESFANDIARI:

18 Q. Is that right, Doctor?

19 A. I'm sorry. Say again.

20 Q. These studies on cadavers, these are dead people, correct?

21 A. They are.

22 Q. To your knowledge, has anyone tested the amount of current
23 that is generated, how much of that actually ends up in the
24 brain of a living human being?

25 MS. COLE: Objection, Your Honor. Beyond the scope.

1 THE COURT: Sustained.

2 MR. ESFANDIARI: Your Honor, it's --

3 THE COURT: Next question.

4 MR. ESFANDIARI: Certainly, Your Honor.

5 BY MR. ESFANDIARI:

6 Q. The 10%, even assuming the 10% from these cadaver studies,
7 how many electrons does that equate to, Doctor?

8 A. Okay. That would be 300 million billion electrons.

9 Q. Is that still a significant amount of current?

10 A. It is. It's a large amount of current for that tissue.

11 Q. And that's enough current to generate a seizure, correct?

12 A. Absolutely.

13 MR. ESFANDIARI: Thank you, Your Honor. Excuse me.

14 Thank you, Dr. Castleman. You're excused, Dr. Castleman.

15 Thank you.

16 THE WITNESS: Thank you.

17 THE COURT: Thank you.

18 (Witness excused.)

19 THE COURT: We'll take a very short break before the
20 next witness. Just leave your tablets on the chairs. I will
21 get you in just a minute. Thank you.

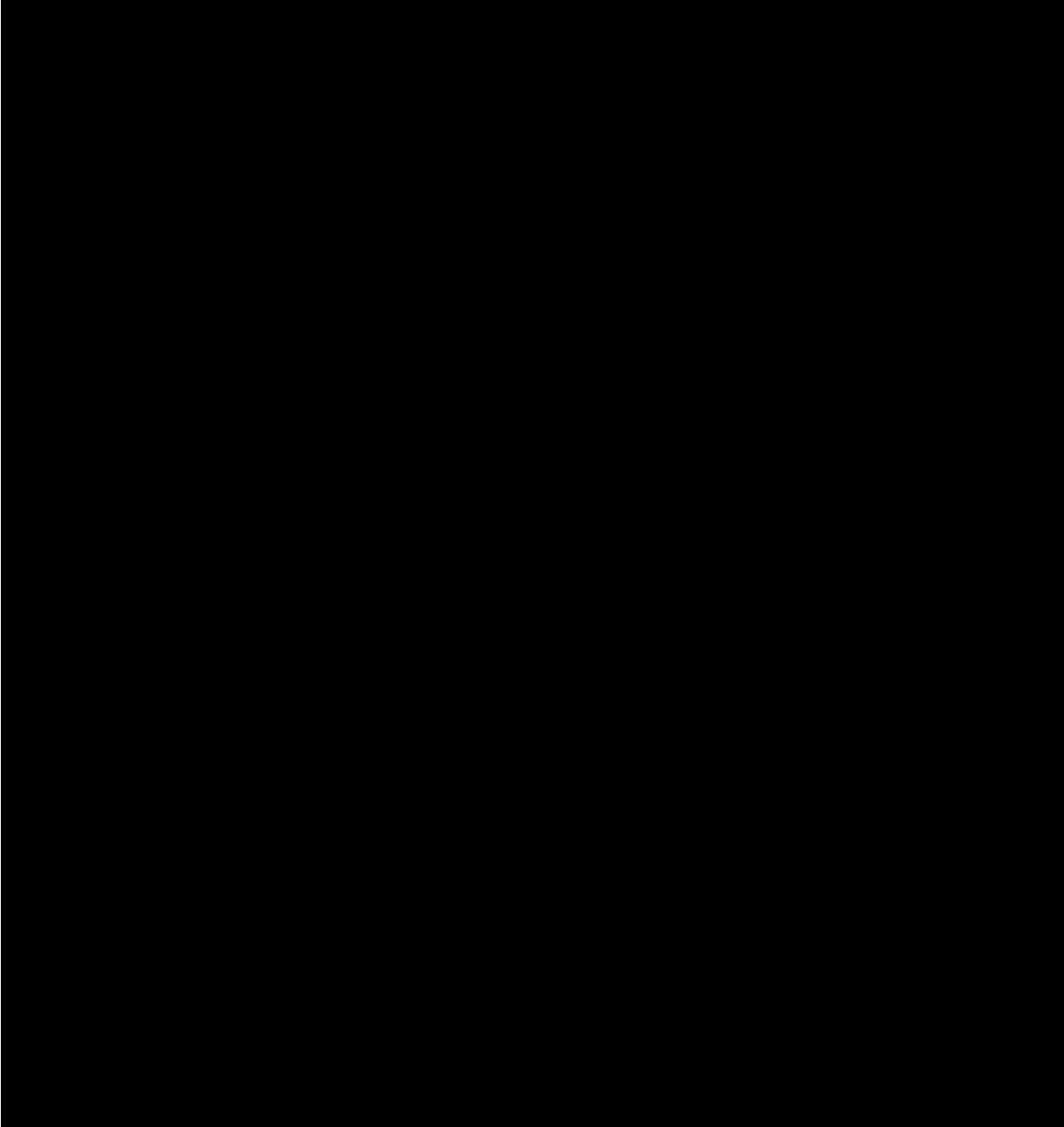
22 (Jury out at 10:04 a.m.)

23

24

25

3 : 4 4 P M 1
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22
3 : 4 6 P M 23
3 : 4 6 P M 24
3 : 4 6 P M 25



(Jury in at 3:45 p.m.)

THE COURT: All right. Have a seat. All right.
Members of the jury, I will say at this point the lawyers have
done an excellent job, at my encouragement and urging --

3 : 4 6 P M 1 COURT SECURITY OFFICER: Your Honor --

3 : 4 6 P M 2 THE COURT: Did we forget somebody? We forgot
3 : 4 6 P M 3 somebody. That's okay. This wasn't anything that can't be
3 : 4 6 P M 4 repeated. They've done an excellent job at moving the case
3 : 4 6 P M 5 quickly, and I'm now -- Steve is, like, looking. He can't find
3 : 4 6 P M 6 the other person. I think I know where they might be. I'm
3 : 4 6 P M 7 just guessing, but that's personal if that's where they are.

3 : 4 7 P M 8 See how important you were? We almost started
3 : 4 7 P M 9 without you.

3 : 4 7 P M 10 A JUROR: I was looking out the window.

3 : 4 7 P M 11 THE COURT: Nobody realized you weren't there. No,
3 : 4 7 P M 12 you're very important.

3 : 4 7 P M 13 I was telling anybody else, the lawyers have
3 : 4 7 P M 14 done a really nice job in moving the case along. It may not
3 : 4 7 P M 15 have seemed that way to you, but trust me, they are doing that.

3 : 4 7 P M 16 And I'm happy to report that we are at this
3 : 4 7 P M 17 point significantly ahead of schedule. I indicated to you in
3 : 4 7 P M 18 the jury selection that we would be done no later than Friday.
3 : 4 7 P M 19 It's now possible -- not promising. It's possible that the
3 : 4 7 P M 20 case may -- you may be deliberating as soon as Wednesday. All
3 : 4 7 P M 21 right?

3 : 4 7 P M 22 Now, that having been said, we're going to end
3 : 4 7 P M 23 early today, right now, because we have some things we need to
3 : 4 7 P M 24 do to make that happen, and rather than have you sit in the
3 : 4 7 P M 25 back for long periods of time, it's better for you just to hit

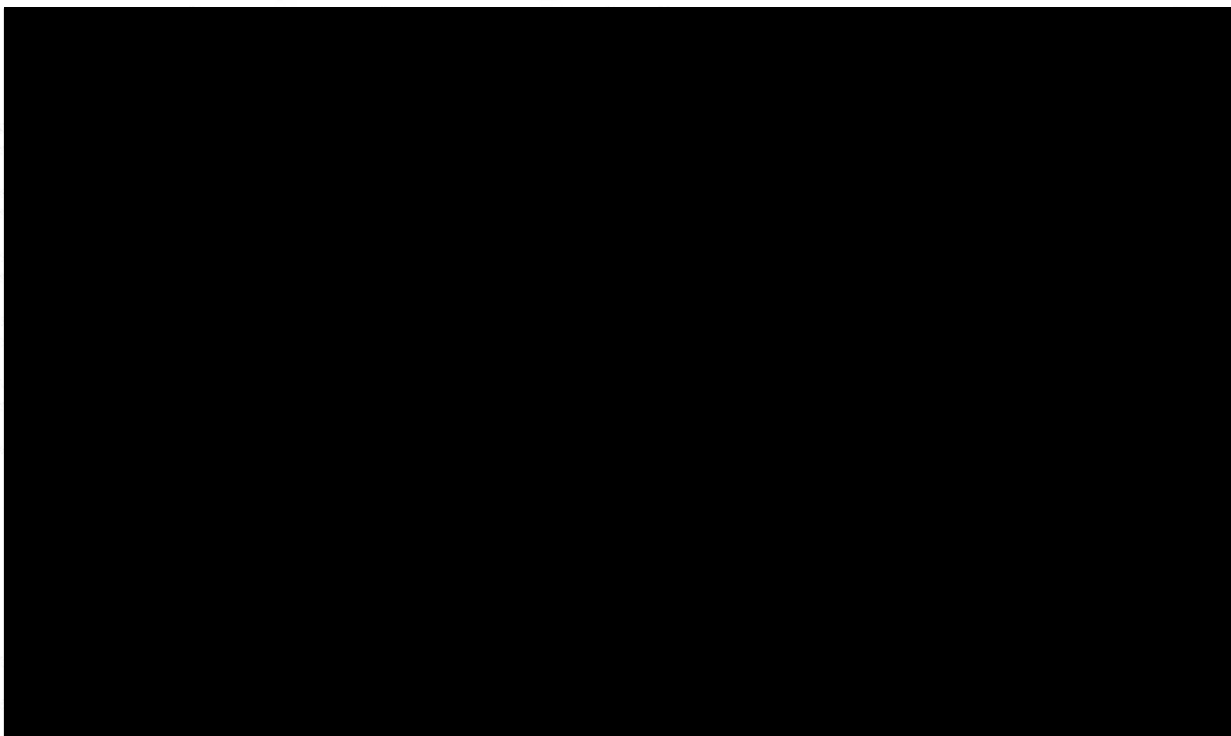
3 : 4 7 P M 1 the road now, beat traffic, get out a little early today.

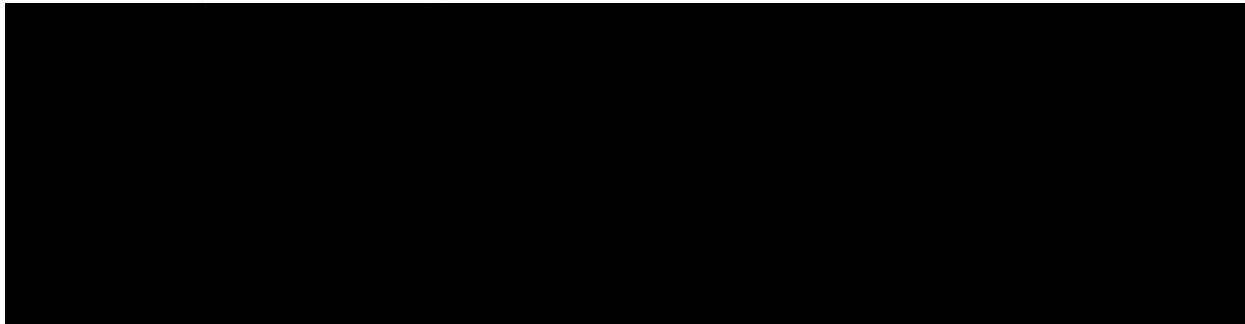
3 : 4 8 P M 2 We're going to start at the same time tomorrow,
3 : 4 8 P M 3 9:00. We're going to go the same game plan we've been doing,
3 : 4 8 P M 4 but we are doing well time-wise, and I thank you for your
3 : 4 8 P M 5 attention today.

3 : 4 8 P M 6 Remember the rules that I said about not
3 : 4 8 P M 7 communicating with each other. Don't do any research, nothing
3 : 4 8 P M 8 of that nature. And if we continued today for another hour or
3 : 4 8 P M 9 so, we still would be done at the same time. So we're not
3 : 4 8 P M 10 really losing anything at the end, and I think this is the best
3 : 4 8 P M 11 way to proceed.

3 : 4 8 P M 12 So thank you for your time today. And we will
3 : 4 8 P M 13 see you again tomorrow at 9:00.

14 (Jury out at 3:48 p.m.)





5 : 0 3 P M 1
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(End of proceedings.)

* * * * *

UNITED STATES DISTRICT COURT
MIDDLE DISTRICT OF FLORIDA

REPORTER TRANSCRIPT CERTIFICATE

I, Tana J. Hess, Official Court Reporter for the United States District Court, Middle District of Florida, certify, pursuant to Section 753, Title 28, United States Code, that the foregoing is a true and correct transcription of the stenographic notes taken by the undersigned in the above-entitled matter (Pages 1 through 250 inclusive) and that the transcript page format is in conformance with the regulations of the Judicial Conference of the United States of America.

Tana J. Hess, CRR, RMR, FCRR
Official Court Reporter
United States District Court
Middle District of Florida
Tampa Division
Date: June 13, 2023