

# Swartz, Conrad 2018-08-10

## Designation List Report



Swartz, Conrad

2018-08-10

Plaintiff Affirmatives

00:17:09

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**TOTAL RUN TIME**

**00:17:09**



ID: SWAR2\_PR

**SWAR2\_PR - Swartz, Conrad 2018-08-10**

DESIGNATION	SOURCE	DURATION	ID
41:02 - 41:19	<b>Swartz, Conrad 2018-08-10</b>	00:01:30	SWAR2_PR.1
41:02	Q. From your perspective over time, from the first time		
41:03	that Somatics started marketing its Thymatron in or about		
41:04	1984 to the approximate 2004 time frame where you recall		
41:05	writing the Propofol Interruption Method article dealing		
41:06	with side effects, has your view of the side effects		
41:07	related to ECT changed in that window of time, '84 to		
41:08	2004?		
41:09	A. Yes, it has.		
41:10	Q. All right. When -- let's start with, then, the		
41:11	approximate 1984 time frame.		
41:12	What side effects did you believe existed in '84,		
41:13	when you first started marketing the Thymatron?		
41:14	A. I believe that acute confusional states, which I		
41:15	called emergence delirium, would occur occasionally, and		
41:16	patients would have some difficulty.		
41:17	Even patients who did not have this emergence		
41:18	delirium, some of them would have memory difficulties.		
41:19	And these memory difficulties would fade in time.		
42:16 - 43:01	<b>Swartz, Conrad 2018-08-10</b>	00:00:48	SWAR2_PR.2
42:16	Q. Fair to say that, at or about that time frame, you		
42:17	did not believe, or have any reason to know, that there		
42:18	were any long-term or permanent side effects related to		
42:19	ECT?		
42:20	A. That's correct; although, I had heard of individual		
42:21	patients of -- in the medical literature or presenting at		
42:22	meetings, complaining of persistent memory problems. But		
42:23	we have this throughout psychiatry.		
42:24	Memory problems are part of what it means to be a		
42:25	psychiatric patient. Everyone has impaired memory,		
43:01	impaired concentration.		
44:08 - 44:12	<b>Swartz, Conrad 2018-08-10</b>	00:00:20	SWAR2_PR.3
44:08	After '84, was there some period of time where		
44:09	additional side effects were believed by you to be		
44:10	associated with ECT?		
44:11	A. I read of people dying from it, but that has not been		
44:12	my experience.		
89:03 - 89:06	<b>Swartz, Conrad 2018-08-10</b>	00:00:17	SWAR2_PR.4
89:03	Q. Do you have any reason to believe that Somatics has		

**SWAR2\_PR - Swartz, Conrad 2018-08-10**

DESIGNATION	SOURCE	DURATION	ID
	89:04 ever advised the users of ECT devices of brain damage		
	89:05 associated with ECT?		
	89:06 A. Not to my knowledge.		
120:25 - 121:13	<b>Swartz, Conrad 2018-08-10</b>	00:00:58	SWAR2_PR.5
	120:25 Q. After Somatics became incorporated in '84 to market		
	121:01 the Thymatron device, and to the present, have you ever		
	121:02 made any efforts to accumulate a literature review		
	121:03 associated with long-term or permanent side effects		
	121:04 associated with ECT?		
	121:05 A. No, I have not. And I am not aware of such a		
	121:06 literature.		
	121:07 Q. Okay.		
	121:08 A. If one -- an article had appeared, I do believe I		
	121:09 would have noticed it.		
	121:10 Q. Just in the normal course of your custom, habit,		
	121:11 practice of being aware of literature that might address		
	121:12 ECT findings?		
	121:13 A. Yes, sir.		
121:19 - 123:02	<b>Swartz, Conrad 2018-08-10</b>	00:02:43	SWAR2_PR.7
	121:19 In your custom and practice of literature review,		
	121:20 has any literature ever changed the practice of Somatics		
	121:21 in advising of the possibility of permanent or long-term		
	121:22 consequences associated with ECT?		
	121:23 A. Maybe.		
	121:24 Q. All right.		
	121:25 A. I can tell you what I'm thinking. There was an		
	122:01 article that appeared about the use of caffeine in		
	122:02 animals receiving ECS. And what this article found was		
	122:03 that ECS with caffeine produced long-term structural		
	122:04 damage in animals, but the ECS alone did not. However,		
	122:05 the caffeine alone did also. And so, it -- this led me		
	122:06 to avoid advocating caffeine use at ECT. And I believe		
	122:07 we did not mention caffeine use in our user's manuals		
	122:08 because of just the concern about the general issue that		
	122:09 it had not been proven to be safe to my satisfaction.		
	122:10 That's my own judgment.		
	122:11 Q. All right. I'm not sure I got all that. So, let me		
	122:12 follow up.		
	122:13 In terms of the caffeine-use-related journal		
	122:14 article that came to your attention, what was the		

**SWAR2\_PR - Swartz, Conrad 2018-08-10**

DESIGNATION	SOURCE	DURATION	ID
	122:15 conclusion?		
	122:16 A. The combination of very high dose caffeine with ECS		
	122:17 caused structural change in the brain, but the ECS alone		
	122:18 did not.		
	122:19 Q. And did you or did you not make any changes in the		
	122:20 owner's manual disclosures in relation to the use of		
	122:21 caffeine and ECT, as a result of that article?		
	122:22 A. I believe, as a result of that article, we avoided		
	122:23 mentioning using caffeine.		
	122:24 Q. And you avoided mentioning using caffeine because		
	122:25 why?		
	123:01 A. Because I wasn't satisfied that it was proven to be		
	123:02 safe.		
123:13 - 124:08	<b>Swartz, Conrad 2018-08-10</b>	00:01:19	SWAR2_PR.9
	123:13 Q. The		
	123:14 journal article came to your attention. It addressed		
	123:15 caffeine use in -- with ECS in animals. It found a		
	123:16 finding of brain damage associated.		
	123:17 But that did not correspond to a change in your		
	123:18 owner's manual because why?		
	123:19 A. Because it was in animals. And we weren't mentioning		
	123:20 it in our user's manual. I just didn't -- I didn't add		
	123:21 it to the user's manual to mention it, because I wasn't		
	123:22 satisfied that it had been established as safe.		
	123:23 Q. All right. Now I think I got that. Have there ever		
	123:24 been any -- let me rephrase.		
	123:25 What approximate time was that, that you recall		
	124:01 being aware of a journal article that identified brain		
	124:02 damage in animals with association of caffeine with ECS		
	124:03 use?		
	124:04 A. I can't recall, but I believe the author's name was		
	124:05 Ende, E-N-D-E.		
	124:06 Q. All right. Do you recall if it was in the '80s or		
	124:07 the '90s?		
	124:08 A. Probably the '90s.		
151:13 - 152:10	<b>Swartz, Conrad 2018-08-10</b>	00:01:48	SWAR2_PR.10
	151:13 Q. In terms of the measurement of joules, how many		
	151:14 joules does -- or can ECT deliver to the brain?		
	151:15 A. At 220 ohms impedance, it's up to 100 joules.		
	151:16 Q. And how about volts?		

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DESIGNATION	SOURCE	DURATION	ID
	151:17	How many volts are involved with ECT?	
	151:18	A. Well, that depends on the impedance. The important	
	151:19	part is the current. You can get -- with an induction	
	151:20	coil, you can get a shock of many thousands of volts	
	151:21	without burning if the current is down. But with a	
	151:22	welder -- welding device you can melt steel at a few	
	151:23	volts if the current is high enough.	
	151:24	Q. And so, how about in the application of ECT? What is	
	151:25	the voltage that is used?	
	152:01	A. No specific voltage is set. The current is set.	
	152:02	Q. And what's the variation of the current?	
	152:03	A. The current is 900 milliamps flat.	
	152:04	Q. Constant?	
	152:05	A. Constant. Now, if the impedance goes high enough,	
	152:06	the current will start falling. I think it's around	
	152:07	400 ohms dynamic impedance the current starts falling, if	
	152:08	the impedance goes over about 400.	
	152:09	I am not -- I don't have it exactly, but it's	
	152:10	approximately 400, 420.	
169:15 - 169:18	<b>Swartz, Conrad 2018-08-10</b>		00:00:18 SWAR2_PR.11
	169:15	Q. When was the last ECT-related study, that you're	
	169:16	aware of, that addressed the potential for long-term or	
	169:17	permanent side effects to be associated with ECT?	
	169:18	A. I'm not aware of any.	
179:14 - 180:08	<b>Swartz, Conrad 2018-08-10</b>		00:01:22 SWAR2_PR.13
	179:14	Q. And what, if anything, have you done to ever get	
	179:15	specific information that you can rely on for purposes of	
	179:16	concluding how many people who have ECT are going to have	
	179:17	long, persisting loss of memories or memory function?	
	179:18	A. It's a very complex and difficult question to	
	179:19	determine because of the enormous incidents of	
	179:20	concentration and memory problems among psychiatric	
	179:21	patients of all kinds; schizophrenia, anxiety disorders,	
	179:22	and mood disorders, not the least of which results from	
	179:23	antipsychotic medications and benzodiazepines and	
	179:24	concurrent substance abuse.	
	179:25	Q. So, do I understand that -- from your response that,	
	180:01	since most ECT patients have psychiatric issues, by	
	180:02	definition, one is unable to identify damage caused by	
	180:03	ECT?	

**SWAR2\_PR - Swartz, Conrad 2018-08-10**

DESIGNATION	SOURCE	DURATION	ID
	180:04 A. It's subtle enough so that the answer is yes.		
	180:05 Q. Okay.		
	180:06 A. It is very difficult and complex to identify. Even		
	180:07 cigarette smoking has been proven to cause cognitive		
	180:08 dysfunction because of the carbon monoxide.		
180:21 - 180:25	<b>Swartz, Conrad 2018-08-10</b>	00:00:16	SWAR2_PR.14
	180:21 Q. Would you agree that just because there may		
	180:22 not be any observable structural brain changes, that that		
	180:23 is not a conclusive determiner that no brain damage has		
	180:24 occurred?		
	180:25 A. Theoretically.		
184:17 - 185:02	<b>Swartz, Conrad 2018-08-10</b>	00:00:44	SWAR2_PR.15
	184:17 Q. How do you control how far the brain region's		
	184:18 affected by the seizure?		
	184:19 A. You don't control them. You hope for a good		
	184:20 generalization through the brain. And, in fact, the --		
	184:21 it's considered that the tachycardia that accompanies the		
	184:22 seizure reflects the brain stem back down in the neck,		
	184:23 which is about as far away from the stimulus electrodes		
	184:24 as you can get on the head.		
	184:25 Q. Other than ECT, are you aware of any other		
	185:01 application in medicine where a seizure is invited?		
	185:02 A. No.		
192:03 - 193:17	<b>Swartz, Conrad 2018-08-10</b>	00:03:04	SWAR2_PR.16
	192:03 Q. Is the view of Somatics that memory loss as a side		
	192:04 effect of ECT extends past six months?		
	192:05 A. I don't think that -- we don't have an official view.		
	192:06 Q. Do you --		
	192:07 A. I think we understand that there can be some loss of		
	192:08 biographical memory.		
	192:09 Q. Forever?		
	192:10 A. Yes.		
	192:11 Q. And if doctors -- if you had read the study where		
	192:12 Dr. Reed and Ben-Tal conclude that persistent or		
	192:13 permanent memory loss occurs between 29 and 55 percent of		
	192:14 the time of ECT, would that information have changed your		
	192:15 view of whether or not Somatics should advise of those		
	192:16 risks associated with ECT?		
	192:17 A. Well, by itself, some personal memory loss is not		
	192:18 impairment.		

**SWAR2\_PR - Swartz, Conrad 2018-08-10**

DESIGNATION	SOURCE	DURATION	ID
	192:19 Q. Sorry?		
	192:20 A. By itself, personal memory loss does not constitute		
	192:21 impairment.		
	192:22 So, the answer is no, it wouldn't change it.		
	192:23 Q. And what is it that you used to reach that		
	192:24 conclusion, that personal memory loss does not constitute		
	192:25 impairment?		
	193:01 A. Impairment means inability to take care of yourself		
	193:02 and your life. And if you've forgotten some details		
	193:03 about your children's telephone numbers and who you went		
	193:04 to school with in the fourth grade, well, that said, I'm		
	193:05 sorry, but it doesn't constitute impairment.		
	193:06 Q. Would you agree that the inability to form new		
	193:07 memories constitutes impairment?		
	193:08 A. Yes.		
	193:09 Q. Okay. Would you agree that, back in the '40s when		
	193:10 ECT was first being implemented, that the view then was		
	193:11 that ECT worked because it did cause brain damage and		
	193:12 memory loss?		
	193:13 A. Oh. The Germans used to say ECT works by killing off		
	193:14 the weak brain cells. But that's the kind of thing that		
	193:15 they used to say there in Germany, isn't it? So, it's		
	193:16 just an old theory, an old concept.		
	193:17 It doesn't apply to modern ECT.		
194:21 - 195:03	<b>Swartz, Conrad 2018-08-10</b>	00:00:49	SWAR2_PR.17
	194:21 Q. What would you define, if you would, as		
	194:22 non-memory-related cognitive aftereffects?		
	194:23 A. What other aspects of memory are -- of cognition are		
	194:24 there outside of memory. Well, there is learning. There		
	194:25 is executive function. There's attention and		
	195:01 concentration. There is understanding the rules of		
	195:02 social behavior. There's ability to speak, understanding		
	195:03 of language.		
213:08 - 213:15	<b>Swartz, Conrad 2018-08-10</b>	00:00:54	SWAR2_PR.18
	213:08 Q. Other than damage to the cells of the brain, what		
	213:09 other rationale do you have, if any, for the side effects		
	213:10 that do follow ECT, even short-term?		
	213:11 A. Well, I think it -- think of it as disruption, not		
	213:12 damage. It's -- the cells are not killed, but their		
	213:13 operation is temporarily impaired.		

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DESIGNATION	SOURCE	DURATION	ID
	213:14 Q. Would that be like a bruise?		
	213:15 A. Okay. Something like a bruise.		

Plaintiff Affirmatives	00:17:09
<b>TOTAL RUN TIME</b>	<b>00:17:09</b>